



MISSOURI DEPARTMENT OF NATURAL RESOURCES
DIVISION OF ENVIRONMENTAL QUALITY
VIOLATION HISTORY DISCLOSURE STATEMENT

This worksheet is to be used to satisfy the requirements of 10 CSR 80-2.070 and 2.020 to submit a disclosure statement as part of an application for a construction permit, a change of ownership and annual updates. The completed worksheet must be submitted with the applicable attachments.

1. Does there exist any corporation or business which owns an interest in the applicant, permittee or any business which is owned either wholly or in part by any person, corporation or business which owns an interest in the applicant or permittee?

Check one: ☐ YES ☐ NO If no, skip 1b and 1c.

a. The names, social security number and date of birth of each officer or management employee (as defined by 10 CSR 80-2.070(5)(C)) of the applicant or the corporations or businesses as described in 1 of this worksheet must be submitted. Provide this information on the attached Officer/Management Employee Summary Sheet.

b. Attach the structure of the applicant or permittee firm in relation to the corporations or businesses as described in 1 of this worksheet.

c. Does there exist a parent firm of the applicant or permittee? Check one: ☐ YES ☐ NO
If yes, attach a copy of the most recent annual Securities and Exchange Report Form 10-K for the parent firm. If no annual Securities and Exchange Report Form 10-K is required, please explain.

2. Do there exist any facilities (as defined by 10 CSR 80-2.070(5)(A)) which had or have held any environmental permit within the last five (5) years in Missouri or in the United States? Check one: ☐ YES ☐ NO

If yes, attach a list of all such facilities and for each identify the following:

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| a. Permits or identification numbers; | d. Address or location of the facility; and |
| b. Type of permit, license, certification or equivalent document and dates held; | e. Issuing agency. |
| c. Name under which the permits or equivalent documents were issued; | |

3. Have there been any environmental violations (as defined by 10 CSR 80-2.070(5)(D)) cited within the last five (5) years incurred by the applicant (permittee) or persons as defined by 10 CSR 80-2.070(5)(B). Check one: ☐ YES ☐ NO

If yes, attach a list of all such violations and include the following information:

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| a. Dates of violations; | e. Name and address of issuing agency; and |
| b. A brief description of the violation; | f. Identification of those violations having an appeal pending. |
| c. Citations to each specific statute or other regulation that was violated; | |
| d. Name and location of the facility cited; | |

4. Have there been any restraint of trade convictions (as defined by 10 CSR 80-2.070(5)(E)) within the last five (5) years of the applicant, permittee or persons as defined by 10 CSR 80-2.070(5)(B)? Check one: ☐ YES ☐ NO

If yes, attach a list of all such convictions and include the following information:

- a. Dates of convictions;
b. A brief description of each conviction;
c. Citations to each specific statute or other regulation that was violated;
d. Identification of the court and case number; and
e. Identification of convictions having an appeal pending.

VIOLATION HISTORY DISCLOSURE STATEMENT (CONTINUED)

5. Is this pertaining to an application or permit for a commercial solid waste processing facility or solid waste disposal area?

Check one: ☐ YES ☐ NO

If no, skip to 6.

a. Has the applicant, permittee, or persons as defined by 10 CSR 80-2.070(5)(B) had any environmental permit denied by a state or federal agency within the last five (5) years? Check one: ☐ YES ☐ NO

If yes, for each denial attach the following:

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| (1) Date of denial; | (3) Type of permit denied; and |
| (2) A brief description of the reason(s) for denial; | (4) A certified copy of each denial letter or court order |

b. Have there been any convictions by state or federal agencies occurring within the last five (5) years incurred by the applicant, permittee, or persons as defined by 10 CSR 80-2.070(5)(B)? Check one: ☐ YES ☐ NO

If yes, attach a list of all such convictions and include the following information:

- (1) Dates of convictions;
- (2) A brief description of each conviction;
- (3) Citations to each specific statute or other regulation that was violated;
- (4) Identification of the court and case number; and
- (5) Identification of convictions having an appeal pending.

6. Has the applicant, permittee or persons as defined by 10 CSR 80-2.070(5)(B) had any convictions in this state of municipal or county public health or land use ordinances related to the management of solid waste occurring within the last five (5) years?

Check one: ☐ YES ☐ NO

If yes, attach a list of all such convictions and include the following information:

- a. Dates of convictions;
- b. A brief description of each conviction;
- c. Citations to each specific statute or other regulation that was violated;
- d. Identification of the court and case number; and
- e. Identification of convictions having an appeal pending.

7. Has the applicant (permittee) or persons as defined by 10 CSR 80-2.070(5)(B) been adjudged in contempt of any court order enforcing the provisions of the Missouri solid waste or hazardous waste management laws? Check one: ☐ YES ☐ NO

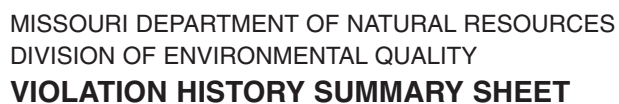
8. Summarize the information in 2 through 7 above on the attached Violation History Summary Sheet.

I, the undersigned, certify that the information supplied herein is correct. I understand that the Missouri Department of Natural Resources (MDNR) shall deny or revoke a permit for failure of the applicant or permittee to provide the required information or for submission of false information. In addition, I understand that the MDNR may, for good cause, deny or revoke a permit for failure of the applicant to provide complete information when the submission of such information is required by 10 CSR 80-2.070. I understand that the MDNR or its representative shall verify the information provided on the disclosure statement as required by section 260.205.19, RSMo.

SIGNATURE OF APPLICANT, PERMITTEE OR AUTHORIZED REPRESENTATIVE

DATE

PRINT NAME AND TITLE OF INDIVIDUAL WHO SIGNED ABOVE



MISSOURI DEPARTMENT OF NATURAL RESOURCES
DIVISION OF ENVIRONMENTAL QUALITY
VIOLATION HISTORY SUMMARY SHEET

VIOLATION HISTORY INFORMATION

	TOTAL NUMBER OF VIOLATIONS	NUMBER OF CRIMINAL CONVICTIONS
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NUMBER OF CONVICTIONS

Restraint of Trade Convictions - as per 10 CSR 80-2.070(5)(E) (If applicant is not required to submit this information, write N/A.)		
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<p>Convictions in Missouri of Municipal or County Public Health or Land Use Ordinances - as per 10 CSR 80-2.070(7)(I)</p> <p>(If applicant is not required to submit this information, write N/A.)</p>	
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